

## Section 4.6 Rank

The set of all linear combinations of the row vectors of a matrix  $A$  is called the **row space** of  $A$  and is denoted by  $\text{Row } A$ .

**EXAMPLE:** Let

$$A = \begin{bmatrix} -1 & 2 & 3 & 6 \\ 2 & -5 & -6 & -12 \\ 1 & -3 & -3 & -6 \end{bmatrix} \quad \text{and} \quad \begin{aligned} \mathbf{r}_1 &= (-1, 2, 3, 6) \\ \mathbf{r}_2 &= (2, -5, -6, -12) \\ \mathbf{r}_3 &= (1, -3, -3, -6) \end{aligned} .$$

$$\text{Row } A = \text{Span}\{\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3\} \text{ (a subspace of } \mathbf{R}^4\text{)}$$

While it is natural to express row vectors horizontally, they can also be written as column vectors if it is more convenient.

Therefore

$$\boxed{\text{Col } A^T = \text{Row } A} .$$

When we use row operations to reduce matrix  $A$  to matrix  $B$ , we are taking linear combinations of the rows of  $A$  to come up with  $B$ . We could reverse this process and use row operations on  $B$  to get back to  $A$ . Because of this, the row space of  $A$  equals the row space of  $B$ .

### THEOREM 13

If two matrices  $A$  and  $B$  are row equivalent, then their row spaces are the same. If  $B$  is in echelon form, the nonzero rows of  $B$  form a basis for the row space of  $A$  as well as  $B$ .

**EXAMPLE:** The matrices

$$A = \begin{bmatrix} -1 & 2 & 3 & 6 \\ 2 & -5 & -6 & -12 \\ 1 & -3 & -3 & -6 \end{bmatrix} \text{ and } B = \begin{bmatrix} -1 & 2 & 3 & 6 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

are row equivalent. Find a basis for row space, column space and null space of  $A$ . Also state the dimension of each.

Basis for Row  $A$  :

{ }

dim Row  $A$  : \_\_\_\_\_

Basis for Col  $A$  :  $\left\{ \begin{bmatrix} \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{bmatrix}, \begin{bmatrix} \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{bmatrix} \right\}$

dim Col  $A$  : \_\_\_\_\_

To find Nul  $A$ , solve  $A\mathbf{x} = \mathbf{0}$  first:

$$\begin{bmatrix} -1 & 2 & 3 & 6 & 0 \\ 2 & -5 & -6 & -12 & 0 \\ 1 & -3 & -3 & -6 & 0 \end{bmatrix} \sim \begin{bmatrix} -1 & 2 & 3 & 6 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\sim \begin{bmatrix} 1 & 0 & -3 & -6 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} 3x_3 + 6x_4 \\ 0 \\ x_3 \\ x_4 \end{bmatrix} = x_3 \begin{bmatrix} 3 \\ 0 \\ 1 \\ 0 \end{bmatrix} + x_4 \begin{bmatrix} 6 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

Basis for Nul  $A$  :  $\left\{ \begin{bmatrix} 3 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 6 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$

and  $\dim \text{Nul } A = \underline{\hspace{2cm}}$

Note the following:

$$\begin{aligned} \dim \text{Col } A &= \# \text{ of pivots of } A = \# \text{ of nonzero rows in } B \\ &= \dim \text{Row } A. \end{aligned}$$

$$\dim \text{Nul } A = \# \text{ of free variables} = \# \text{ of nonpivot columns of } A.$$

## DEFINITION

The **rank** of  $A$  is the dimension of the column space of  $A$ .

$$\boxed{\text{rank } A = \dim \text{Col } A = \# \text{ of pivot columns of } A = \dim \text{Row } A}.$$

$$\begin{array}{ccccc} \underbrace{\text{rank } A} & + & \underbrace{\dim \text{Nul } A} & = & \underbrace{n} \\ \updownarrow & & \updownarrow & & \updownarrow \\ \left\{ \begin{array}{c} \# \text{ of pivot} \\ \text{columns} \\ \text{of } A \end{array} \right\} & & \left\{ \begin{array}{c} \# \text{ of nonpivot} \\ \text{columns} \\ \text{of } A \end{array} \right\} & & \left\{ \begin{array}{c} \# \text{ of} \\ \text{columns} \\ \text{of } A \end{array} \right\} \end{array}$$

## THEOREM 14 THE RANK THEOREM

The dimensions of the column space and the row space of an  $m \times n$  matrix  $A$  are equal. This common dimension, the rank of  $A$ , also equals the number of pivot positions in  $A$  and satisfies the equation

$$\text{rank } A + \dim \text{Nul } A = n.$$

Since  $\text{Row } A = \text{Col } A^T$ ,

$$\boxed{\text{rank } A = \text{rank } A^T}.$$

**EXAMPLE:** Suppose that a  $5 \times 8$  matrix  $A$  has rank 5. Find  $\dim \text{Nul } A$ ,  $\dim \text{Row } A$  and  $\text{rank } A^T$ . Is  $\text{Col } A = \mathbf{R}^5$ ?

*Solution:*

$$\begin{array}{ccccc} \underbrace{\text{rank } A} & + & \underbrace{\dim \text{Nul } A} & = & \underbrace{n} \\ \downarrow & & \downarrow & & \downarrow \\ 5 & & ? & & 8 \end{array}$$

$$5 + \dim \text{Nul } A = 8 \quad \Rightarrow \quad \dim \text{Nul } A = \underline{\hspace{2cm}}$$

$$\dim \text{Row } A = \text{rank } A = \underline{\hspace{2cm}}$$

$$\Rightarrow \quad \text{rank } A^T = \text{rank } \underline{\hspace{2cm}} = \underline{\hspace{2cm}}$$

Since  $\text{rank } A = \#$  of pivots in  $A = 5$ , there is a pivot in every row. So the columns of  $A$  span  $\mathbf{R}^5$  (by Theorem 4, page 43). Hence  $\text{Col } A = \mathbf{R}^5$ .

**EXAMPLE:** For a  $9 \times 12$  matrix  $A$ , find the smallest possible value of  $\dim \text{Nul } A$ .

*Solution:*

$$\text{rank } A + \dim \text{Nul } A = 12$$

$$\dim \text{Nul } A = 12 - \underbrace{\text{rank } A}_{\text{largest possible value}} = \underline{\hspace{2cm}}$$

$$\text{smallest possible value of } \dim \text{Nul } A = \underline{\hspace{2cm}}$$

## Visualizing Row A and Nul A

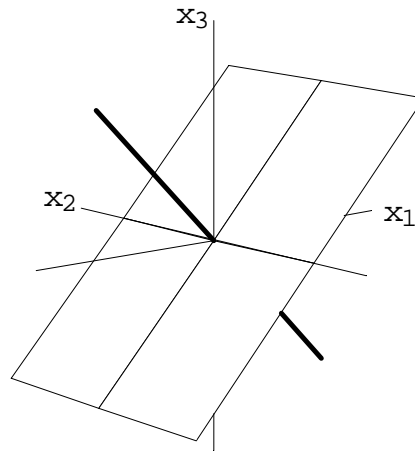
**EXAMPLE:** Let  $A = \begin{bmatrix} 1 & 0 & -1 \\ 2 & 0 & 2 \end{bmatrix}$ . One can easily verify the following:

Basis for Nul  $A = \left\{ \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \right\}$  and therefore Nul  $A$  is a plane in  $\mathbf{R}^3$ .

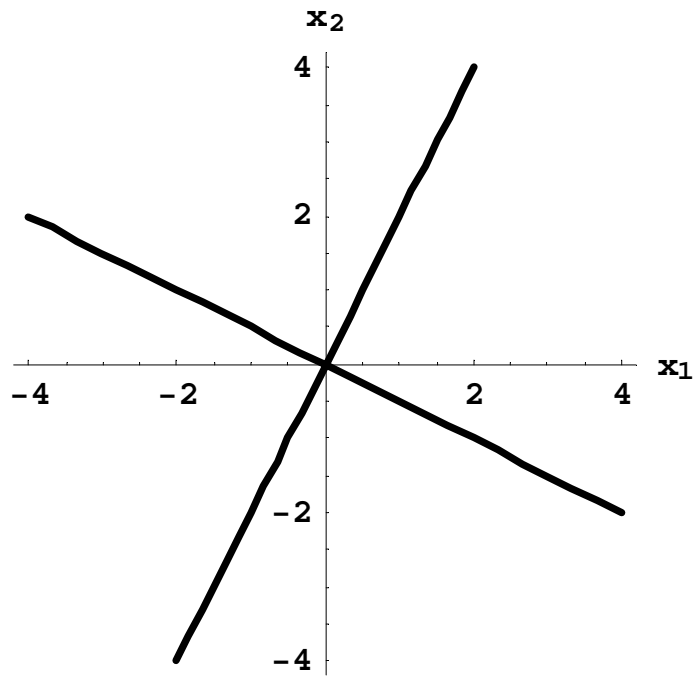
Basis for Row  $A = \left\{ \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} \right\}$  and therefore Row  $A$  is a line in  $\mathbf{R}^3$ .

Basis for Col  $A = \left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right\}$  and therefore Col  $A$  is a line in  $\mathbf{R}^2$ .

Basis for Nul  $A^T = \left\{ \begin{bmatrix} -2 \\ 1 \end{bmatrix} \right\}$  and therefore Nul  $A^T$  is a line in  $\mathbf{R}^2$ .



Subspaces  $\text{Nul } A$  and  $\text{Row } A$



Subspaces  $\text{Nul } A^T$  and  $\text{Col } A$



The Rank Theorem provides us with a powerful tool for determining information about a system of equations.

**EXAMPLE:** A scientist solves a homogeneous system of 50 equations in 54 variables and finds that exactly 4 of the unknowns are free variables. Can the scientist be *certain* that any associated nonhomogeneous system (with the same coefficients) has a solution?

*Solution:* Recall that

$$\text{rank } A = \dim \text{Col } A = \# \text{ of pivot columns of } A$$

$$\dim \text{Nul } A = \# \text{ of free variables}$$

In this case  $A\mathbf{x} = \mathbf{0}$  where  $A$  is  $50 \times 54$ .

By the rank theorem,

$$\text{rank } A + \underline{\hspace{2cm}} = \underline{\hspace{2cm}}$$

or

$$\text{rank } A = \underline{\hspace{2cm}}.$$

So any nonhomogeneous system  $A\mathbf{x} = \mathbf{b}$  has a solution because there is a pivot in every row.

## THE INVERTIBLE MATRIX THEOREM (continued)

Let  $A$  be a square  $n \times n$  matrix. The the following statements are equivalent:

m. The columns of  $A$  form a basis for  $\mathbf{R}^n$

n.  $\text{Col } A = \mathbf{R}^n$

o.  $\dim \text{Col } A = n$

p.  $\text{rank } A = n$

q.  $\text{Nul } A = \{\mathbf{0}\}$

r.  $\dim \text{Nul } A = 0$